

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jeffrey Capone et al.

Title:

METHOD AND FRAMEWORK

FOR TRANSACTION SYNCHRONIZATION

Appl. No.:

Unknown

Filing Date: CONCURRENTLY HEREWITH

Examiner:

Unknown

Art Unit:

Unknown

UTILITY PATENT APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

> Jeffrey Capone 4111 N. Drinkwater Blvd., #309 Scottsdale, Arizona 85251

Pramod Immaneni 1115 E. Lemon Street, #125 Temple, Arizona 85281

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[X] Applicant claims small entity status under 37 CFR 1.27.



Enclosed are:

- [X] Specification, Claim(s), and Abstract (19 pages).
- [X] Formal drawings (7 sheets, Figures 1, 2, 3, 4, 5, 6, 7).
- [] Declaration and Power of Attorney (4 pages).
- [] Assignment of the invention to Aligo Inc..
- [] Assignment Recordation Cover Sheet.
- [] Small Entity statement.
- [] Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- [] Information Disclosure Statement.
- [] Form PTO/SB/08 with copies of ___ listed reference(s).
- [X] Application Data Sheet (37 CFR 1.76).
- [] Claim for Convention Priority.

The filing fee is calculated below:

	Claims		Included in Basic Fee		Extra				Fee
	as Filed	1			Claims	Rate		Totals	
Basic Fee							\$750.00		\$750.00
Total Claims:	26	-	20	=	6	×	\$18.00	=	\$108.00
Independents:	4		3	_ =	1	×	\$84.00	=	\$84.00
If any Multiple Dependent Claim(s) present: + \$280.00						=	\$0.00		
							SUBTOTAL:	=	\$942.00
[]	Small Entity Fees Apply (subtract ½ of above):							=	\$471.00
	2		•				FILING FEE:	=	\$471.00

- [X] A check in the amount of \$471.00 to cover the filing fee is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date 1-28-03

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Respectfully submitted,

Irvin C. Harrington

Attorney for Applicant Registration No. 44,740

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 038927-0203

In re patent application of

Jeffrey Capone et al.

Group Art Unit: Unassigned

Appl. No. Unassigned

Examiner: Unassigned

Filing Date: CONCURRENTLY HEREWITH

For: METHOD AND FRAMEWORK FOR TRANSACTION SYNCHRONIZATION

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Commissioner:

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as Express Mail (Express Mail Label No. EV060045154US) under 37 C.F.R. § 1.10 on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

1. Utility Patent Application Transmittal

Application Data Sheet (4) 2.

Specification, Claims and Abstract (19 pages) 3.

4. Formal Drawings (7 sheets)

Postcard

6. Check No. 16454 (\$471)

Respectfully submitted,

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